



Top-up Application Form

Notes to help you

This form should be used for additional investments to an existing Portfolio Account (Life Assured or Capital Redemption option).

Note: If a bond is held by a company, advice should be sought before topping up.

If you are appointing one or more new Discretionary Asset Managers, please ensure you complete a Discretionary Asset Manager nomination form (DPBF10048) for each one.

If you have any questions when completing this form, please speak to your financial adviser.

This form is divided into sections. **Notes** are provided at the end of each section to help you to complete the section.

Please use black ink and write in CAPITAL LETTERS or tick as appropriate. Any corrections must be initialled – do not use correction fluid as this will invalidate your Application.

Part 1 – Personal details

Bond number(s)

Policyholder(s)

Are you appointing a new Discretionary Asset Manager?

Yes No

If yes, please complete a Discretionary Asset Manager nomination form (DPBF10048) for each Discretionary Asset Manager to be appointed and submit with this top-up Application Form.

Are you notifying us of a change of address as part of this Application?

Yes No

If yes, please provide details of new address. Note: Please provide up to date address verification for **each** policyholder, such as a utility bill or bank statement dated within the last six months.

Are you also changing your country of tax residency?

Yes No

If so, please advise your new country of tax residency:

Part 2 – Details of your payment

I/We wish to invest an additional payment of (minimum £5,000 or currency equivalent)

to be divided equally between ALL identical Policies, numbered

in my/our bond

Currency of your payment

UK £

Euro €

US \$

Other

Please note that interest will not be credited to payments received prior to the receipt of all documentation requirements.

Part 2 – Details of your payment – continued

Your payment is being made by (method & source):

Telegraphic transfer (The original should be sent to your bank).

OR

Cheque (*payable to Prudential International*)

OR

Share Exchange (*Please ensure you complete the Share Exchange Application Form DPBF10007 and, for trusts, the Letter of Authority DPBF10008.*)

OR

Banker's draft (*payable to Prudential International*)

If your payment is being made by telegraphic transfer or bankers draft, please provide details of the account from which the payment has been drawn below. Please note that the source of funds must be an account held in the name of the applicant(s).

If you are taking regular withdrawals from your bond, do you want to increase these?

Yes

No

If yes, please state the total increased amount you wish to take.

(Amount and currency)

Regular withdrawal amounts can only be taken from a Deposit Account or assets managed by a Discretionary Asset Manager. If part or all of your bond is managed by a Discretionary Asset Manager, please detail below where you want your regular withdrawal payments to be funded from. If you do not give us this information we may not be able to pay your regular withdrawals.

Discretionary Asset Manager name	Deposit Account/ Discretionary Asset Manager currency	Amount
	Deposit Account	
	Total	

Please note that the total amount in the table must equal the total increased amount entered in the box above the table.

Please note that any increase will take effect from the next payment that falls due at least 30 days after we receive this Application.

Source of your payment¹

(i) Bank or building society deposit

(ii) Borrowings, investment income, sale of property, sale of stockmarket investments (*please specify*)

(iii) Other (*please specify*)

(iv) Territory your payment was made from:

UK

Other EU Country

Other (*please specify*)

Notes

¹ This information is required under Money Laundering Regulations to safeguard against unlawful investment. We may need to request additional information such as personal identification and address verification to comply with our regulatory obligations.

Part 3 – Investment choice

I/We would like to invest my/our additional payment in the following funds.

The information requested in each column must be provided in full, otherwise the investment into your chosen funds may be delayed. If you wish to invest in more than 16 funds please photocopy this page, complete the photocopied page and send it with this Application.

If you are appointing one or more new Discretionary Asset Managers, please complete a Discretionary Asset Manager nomination form (DPBF10048) for each one.

If you already have one or more Discretionary Asset Managers, please specify in the table how much of your top-up investment is going to each.

Fund manager/ Discretionary Asset Manager name	Full name of fund and/or Discretionary Asset Manager currency	Sedol number/ ISIN**	Type of units required** (Accumulation/ Income Distribution/ Income Reinvest)	%* of the total payment into the fund
				%
				%
				%
				%
				%
				%
				%
				%
				%
				%
				%
				%
				%
				%
				%
				%
Total				100%

* To two decimal places.

** Not required for investments with a Discretionary Asset Manager

OR

As per the latest quarterly valuation holding split

The quarterly valuation holding split is based on the latest valuation sent to you. We provide a valuation statement of your bond on the bond fund valuation dates of 31 March, 30 June, 30 September and 31 December.

Please note that Prudential International will aim to meet investment deadlines on a best endeavours basis, but if there are any outstanding items which prevent the processing of your additional investment this will impact on the purchase of your investment. Prudential International will not be responsible for any loss should such a delay occur.

If you have chosen to appoint one or more Discretionary Asset Managers for part or all of your top-up investment, Prudential International will forward the relevant invested amount to the Discretionary Asset Manager(s) on receipt of their signed acceptance of your investment, as noted in the Discretionary Asset Manager nomination form, and on issue of your top-up investment.

Fund Adviser

Are you nominating a Fund Adviser?

Yes No

If a Fund Adviser was appointed for the original bond, this will apply automatically to any additional premium and you need not do anything further.

If you have not previously appointed a Fund Adviser and wish to do so now, please complete the Fund Adviser appointment form (DPBF10012), which is available on our website www.pruadviser.co.uk. This will not delay the processing of your additional investment.

Please note that you cannot nominate a Fund Adviser for assets managed by a Discretionary Asset Manager.

Data Protection Acts 1988 & 2003

The Company is registered with the Data Protection Commissioner and will comply with the Irish Data Protection Acts 1988 & 2003 in the processing of this Application and the maintenance and record keeping of any contract(s) as a result of this Application.

 **Please go to part 4 below.**

Part 4 – Application

This Application should be read and signed by the person(s) who own(s) the bond.

Please read the key features document as this will provide you with important information regarding the key risks and benefits of the product to help you make a decision.

Please also read the Funds guide, which is available from your Financial Adviser, as this will provide you with full details of the funds available, their objectives, Prudential International's risk ratings of these funds and charges to help you select the funds suitable for your needs.

For your own benefit and protection, you should read carefully the documentation provided before signing this form. You should also read carefully any further documentation provided to you in the future. If there is anything you do not understand, please ask your Financial Adviser for further information.

You must be aged 18 or over to be the owner of the bond.

I/We request that holdings are purchased in the fund(s) selected. I/We understand that the additional payment will be applied to purchase holdings in the fund(s) selected as soon as Prudential International's requirements are met and the payment is accepted.

I/We understand that a sole applicant, or, if there is more than one applicant, any one of the applicants, has the right to cancel the top-up investment within 30 days of receiving the cancellation notice.

I/We understand that a copy of the terms and conditions and the completed Application Form are available on request.

I/We understand calls may be monitored or recorded for quality and security purposes.

Data Protection Notice

Prudential International Assurance plc is the data controller for the purposes of the Data Protection Acts, 1988 and 2003 (as amended) (Acts). By signing below you indicate your consent to Prudential International Assurance plc and its service provider, Capita Life & Pensions Services (Ireland) Limited which forms part of the Capita Group, holding, processing and using your information in order to decide upon your application for a life assurance policy (including any renewals or new life assurance products) and for administration, management, risk assessment, research and statistical analysis and marketing purposes.

Prudential International Assurance plc and companies within the Prudential Group* may use your information to inform you (including by telephone) of other products and services offered by them, or, we will not send you any information if the box below is ticked:

I do not wish to be contacted

You have a right to apply for a copy of the information held by us about you (for which a small charge, not exceeding €6.35, may apply) and you have a right to have any inaccuracies in your information corrected. Please send your request in writing to the Data Protection Officer at Prudential International Assurance plc, Montague House, Adelaide Road, Dublin 2, Ireland. We shall respond as soon as reasonably possible and at the latest within 40 days of the date of your request.

Prudential International Assurance plc may transfer and disclose your personal information to other companies within the Prudential Group for the purposes above. This may involve the transfer of personal information to countries outside of the European Economic Area, including countries which may not

Part 4 – Application – continued

have adequate data protection laws in place. A full list of countries to which your data may be transferred is available to you on request. On transferring personal data, Prudential International Assurance plc will take appropriate measures to ensure the security and integrity of your personal information.

By signing below you further indicate your consent to the transfer of your personal data outside of the European Economic Area for purposes set out above.

To prevent and detect fraud we may share your data with other organisations, including the police, and check and/or file your data with fraud prevention agencies and databases, and if we are given false or inaccurate information and we suspect fraud, we will record this. We may also disclose your information to third parties in order to comply with any legal or regulatory obligation.

From time to time we may survey our customers regarding the level of our service. Please tick here if you do not wish to be included in any future survey.

If you provide us with information of any other person, you confirm that they consent to the processing of their personal information in the manner set out above and that you have fully informed them of:

- the purposes for which their information will be processed;
- to whom their information may be disclosed; and
- their right to apply for a copy of their information that is held by us and their right to have any inaccuracies in their information corrected.

If you have any questions regarding our processing of your personal information, please contact the Data Protection Officer at Prudential International Assurance plc, Montague House, Adelaide Road, Dublin 2, Ireland.

* The Prudential Group means our ultimate holding company, Prudential plc, and its subsidiaries.

Application – by owner(s) of the bond:

Please issue the top-up to my/our bond on the basis specified in this Application Form.

It is our normal procedure to issue policy documents direct to the policyholder. If you would prefer us to issue these to your Financial Adviser, please tick the box below.

Please issue policy documents to my/our Financial Adviser

Signature of each owner

Signature of owner

Signature of owner

Signature of owner

Signature of owner

Full name

The date of this Application

D	D	M	M	Y	Y	Y	Y
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Full name

The date of this Application

D	D	M	M	Y	Y	Y	Y
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Full name

The date of this Application

D	D	M	M	Y	Y	Y	Y
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Full name

The date of this Application

D	D	M	M	Y	Y	Y	Y
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To be completed by your Financial Adviser

1. a) Adviser's name

1. b) Adviser's reference number

1. c) Adviser's agency number

2. Adviser's address

<input type="text"/>
Postcode

Telephone number

Fax number

E-mail address

Financial adviser checklist

Please ensure that the following information/documentation accompanies this Application Form. Failure to provide all relevant information will result in a delay to this Application being processed.

Please tick if attached

If paying by cheque or banker's draft, please make payable to Prudential International

If using Share Exchange, the Application Form and, for trusts, the Letter of Authority

If appointing one or more Discretionary Asset Managers for the first time,
a Discretionary Asset Manager nomination form for each one

Please note that interest will not be credited to payments received prior to receipt of all required documents.

 Please now complete the next page

To be completed by your Financial Adviser – continued

Commission structure

Please select the option the bond was written under and detail how you would like to use your initial commission. Please note that the standard rate of initial commission is 6%. Please also complete the renewal commission section overleaf, if applicable.

1. Establishment Charge Option (See example A below)

Take full initial commission (tick here) **OR**

a) Reduced initial commission to be taken % (This can be in steps of 0.01%) **OR** Amount (please specify amount and currency²)

Amount of initial commission given up used to:

b) Reduce charges %

and/or

c) Increase allocation %

Please ensure that the total of a, b and c equals your maximum initial commission rate

2. Initial Charge Option (See example B below)

Take full initial commission (tick here) **OR**

Reduced initial commission to be taken % (This can be in steps of 0.01%) **OR** Amount (please specify amount and currency²)

Any initial commission given up will be used to increase the allocation rate.

3. Ongoing Charge Option – Establishment version (See example C below)

Take full initial commission (tick here) **OR**

a) Reduced initial commission to be taken % (This can be in steps of 0.01%) **OR** Amount (please specify amount and currency²)

Amount of initial commission given up used to:

b) Reduce charges %

and/or

c) Increase allocation %

Please ensure that the total of a, b and c equals your maximum initial commission rate

4. Ongoing Charge Option – Initial version (See example B below)

Take full initial commission (tick here) **OR**

Reduced initial commission to be taken % (This can be in steps of 0.01%) **OR** Amount (please specify amount and currency²)

Any initial commission given up will be used to increase the allocation rate.

Example A

Your maximum initial commission is 6% and you are taking 3%. You can use the 3% given up to:

- reduce the Establishment Charge by 0.75% (3 x 0.25%)
OR
- increase the allocation rate by 3%
OR
- combine the two e.g. increase the allocation rate by 2% and reduce the Establishment Charge by 0.25%

Example B

Your maximum initial commission is 6% and you are taking 3%. The allocation rate will be increased by 3%.

Example C

Your maximum initial commission is 6% and you are taking 3%. You can use the 3% given up to:

- reduce the Establishment Charge by 0.69% (3 x 0.23%)
OR
- increase the allocation rate by 3%
OR
- combine the two e.g. increase the allocation rate by 2% and reduce the Establishment Charge by 0.23%

Notes

² Monetary amounts must be in the currency of the premium and will be converted to percentages rounded down to two decimal places.

To be completed by your Financial Adviser – continued

Renewal commission

Renewal commission you wish to take % *(This can be in steps of 0.01%)*

(Not available if a Fund Adviser is appointed)

A fund based charge will be made on the bond to equal this renewal commission.



www.pru.co.uk/international

The registered office of Prudential International is in Ireland at Montague House, Adelaide Road, Dublin 2. Prudential International is a marketing name of Prudential International Assurance plc. Registration No. 209956. Telephone number +353 1 476 5000. If the Company should become unable to meet its liabilities, the Financial Services Compensation Scheme will protect eligible policyholders habitually resident in the UK when their contract starts, with effect from 1 December 2001. This protection does not extend to externally-linked investments. Prudential International Assurance plc is authorised by the Central Bank of Ireland and is subject to limited regulation by the Financial Services Authority for UK business. Details on the extent of our regulation by the Financial Services Authority are available from us on request.