



Confirmation of verification of identity form

Please use black ink and write in CAPITAL LETTERS or tick as appropriate. Any corrections must be initialled. Please do not use correction fluid as this will invalidate your application.

About this form

Copies of the terms and conditions and the completed application form, are available on request.

Introduction by an FSA regulated firm

Part 1 – Details of customer (see explanatory notes on page 2)

Full name of customer

Type of entity (corporate, trust, etc)

Location of business (full operating address)

Postcode	

Registered office in country of incorporation

Postcode	

Registered number, if any (or appropriate)

Relevant company registry or regulated marketing listing authority

* and dates of birth (if known)

Names* of directors (or equivalent)

Names* of principal beneficial owners (over 25%)

Part 2 – Confirmation

I/we confirm that:

- (a) the information in Part 1 was obtained by me/us in relation to the customer;
- (b) the evidence I/we have obtained to verify the identity of the customer:

- meets the standard evidence set out within the guidance for the UK Financial Sector issued by JMLSG ; or
- exceeds the standard evidence (written details of the further verification evidence taken are attached to this confirmation).

Signature

Date

D	D	M	M	Y	Y	Y	Y
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Name

Position

Part 3 – Details of introducing firm (or sole trader)

Full name of regulated firm (or sole trader)

FSA reference number

Explanatory notes

- "Relevant company registry" includes other registers, such as those maintained by charity commissions (or equivalent) or chambers of commerce.
- This form cannot be used to verify the identity of any customer that falls into one of the following categories:
 - those who are exempt from verification as being an existing customer of the introducing firm prior to the introduction of the requirement for such verification;
 - those who have been subject to Simplified Due Diligence under the Money Laundering Regulations; or
 - those whose identity has been verified using the source of funds as evidence.



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