



Authority for financial adviser firm to submit Fund Switch requests

Please use black ink and write in CAPITAL LETTERS or tick as appropriate. Any corrections must be initialled. Please do not use correction fluid as this will invalidate your form.

About this form

This form can be used to authorise a financial adviser firm to submit Fund Switch requests on any of your current and future Flexible Investment Plans or Prudential Investment Plans (where that firm is the one we hold on our records for the plan).

If any plan is jointly owned, or there are trustees, all must give their authority for the adviser to act on their behalf.

This form cannot be used for any other investments you have with Prudential, or for any investments that are handled by another adviser.

Before completing section A please read the important information shown below and in the Fund Switching form (PRUF0534).

Authorised individuals within the adviser firm should complete section B.

Please return this form to: Freepost, Prudential, Stirling FK9 4UE.

This form is available from www.pruadviser.co.uk or directly from us.

Important Information

- Once a Fund Switch request has been received it cannot be withdrawn unless we agree.
- The adviser firm can make Fund Switch requests by completing and sending the Fund Switching form (PRUF0534) to our Administration Office, or by submitting the request online
- Where a Fund Switch request is made online it will be deemed to have been received at the time the request is successfully submitted by the adviser (as shown on the Switch Request Confirmation).
- All Fund Switch requests will be processed in line with the terms and conditions set out in the relevant Policy Provisions (reference INVS6764 for Flexible Investment Plan and PIPB6100 for Prudential Investment Plan) unless stated otherwise on this form.
- At times we may not accept a request submitted by the adviser and require you to complete a Fund Switching form or other such documentation as we may require. We would normally only do this for important contractual reasons for example where investments are being made into new funds which are operated in a way which is materially different from the funds described in the Policy Provisions previously issued to the planholder.
- When submitting a switch request, the adviser firm will be required to ensure that you have been informed of all relevant important information in the then current Fund Switching form.
- Where there is any conflict between the notes set out in this authority and the terms and conditions set out in the Policy Provisions, the Policy Provisions shall be overriding.
- Prudential (or its agents) will have no liability for any loss or otherwise incurred by you resulting either directly or indirectly from Prudential's acceptance of a Fund Switch request from the adviser firm in section B in accordance with this authority.
- All owners/trustees of any plan must have given their authority on this form before a Fund Switch request from the adviser will be accepted by Prudential.
- The authority will be valid until:
 - cancellation, in writing, is received by Prudential from any person noted in section A, or
 - the servicing adviser is changed.

Section A – Owner(s) declaration

Planholder declaration

1. I authorise the adviser firm noted in Section B of this form to submit Fund Switch requests on my behalf.
2. I have read and understood the Important Information overleaf.
3. I have read and understood the important information on the current Fund Switching form and acknowledge this may change from time to time but at all times requests will be processed in line with the relevant Policy Provisions for the plan(s) that are the subject of the request.
4. I understand that Prudential (or its agents) will have no liability for any loss or otherwise incurred by me resulting either directly or indirectly from Prudential's acceptance of a Fund Switch request from the adviser firm in section B in accordance with this authority.

Name of first owner or trustee

Signature

Date

D	D	M	M	Y	Y	Y	Y
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Name of second owner or trustee

Signature

Date

D	D	M	M	Y	Y	Y	Y
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Name of third owner or trustee

Signature

Date

D	D	M	M	Y	Y	Y	Y
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Name of fourth owner or trustee

Signature

Date

D	D	M	M	Y	Y	Y	Y
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www.pru.co.uk

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