



## Top-up Application Form

### Notes to help you

This form should only be used for applications for the International Prudence Bond or International Prudence Bond (Capital Redemption option), issued by Prudential International Assurance plc.

This form is divided into sections. Notes are provided at the end of each section to help you to complete the section.

If you have any queries while completing this Application please speak to your financial adviser or call **0808 234 2200** where an operator will be happy to help – calls may be recorded or monitored for quality and security purposes.

Please use black ink and write in CAPITAL LETTERS or tick as appropriate. Any corrections must be initialled – do not use correction fluid as this will invalidate your Application. Please send the completed form to **Prudential International, Stirling, FK9 4UE**.

Please note you are not allowed to top up into a PruFund Protected Fund.

### Part 1 – Personal details

Bond number(s)

Policyholder(s)

Are you notifying us of a change of address as part of this Application?

Yes  No

If Yes, please provide your new address<sup>1</sup>

Postcode

Are you also changing your country of tax residency?

Yes  No

If so, please advise your new country of tax residency:

#### Notes

<sup>1</sup> Please provide up to date address verification for **each** policyholder, such as a utility bill or bank statement dated within the last six months.





## Part 4 – Application<sup>6</sup>

I/We request that the appropriate number of units be allocated in the fund(s) indicated on the day that:

- my/our cheque is banked on behalf of Prudential International (where the payment is made in UK Sterling by cheque); or
- my/our payment is received, if paid by telegraphic transfer; or
- Prudential International accepts my/our top-up Application and payment, if the payment is made in any other currency or method.

I/We understand that units will be allocated to my/our bond in respect of my/our additional payment as soon as all of Prudential International's requirements are met and the additional payment is accepted.

I/We confirm that I/we have received and read the Key Features document.

I/We understand that a copy of the terms and conditions and the completed Application Form are available on request.

I/We understand calls may be monitored or recorded for quality and security purposes.

### How we use your personal data

Prudential International Assurance plc is the data controller for the purposes of the Data Protection Acts, 1988 and 2003 (as amended) (Acts). By signing below you indicate your consent to Prudential International Assurance plc and its service provider, Capita Life & Pensions Services (Ireland) Limited which forms part of the Capita Group, holding, processing and using your information in order to decide upon your application for a life assurance policy (including any renewals or new life assurance products) and for administration, management, risk assessment, research and statistical analysis and marketing purposes.

Prudential International Assurance plc and companies within the Prudential Group\* may use your information to inform you (including by telephone) of other products and services offered by them, or, we will not send you any information if the box below is ticked:

I do not wish to be contacted

You have a right to apply for a copy of the information held by us about you (for which a small charge, not exceeding €6.35, may apply) and you have a right to have any inaccuracies in your information corrected. Please send your request in writing to the

Data Protection Officer at Prudential International Assurance plc, Montague House, Adelaide Road, Dublin 2, Ireland. We shall respond as soon as reasonably possible and at the latest within 40 days of the date of your request.

Prudential International Assurance plc may transfer and disclose your personal information to other companies within the Prudential Group for the purposes above. This may involve the transfer of personal information to countries outside of the European Economic Area, including countries which may not have adequate data protection laws in place. A full list of countries to which your data may be transferred is available to you on request. On transferring personal data, Prudential International Assurance plc will take appropriate measures to ensure the security and integrity of your personal information.

By signing below you further indicate your consent to the transfer of your personal data outside of the European Economic Area for purposes set out above.

To prevent and detect fraud we may share your data with other organisations, including the police, and check and/or file your data with fraud prevention agencies and databases, and if we are given false or inaccurate information and we suspect fraud, we will record this. We may also disclose your information to third parties in order to comply with any legal or regulatory obligation.

From time to time we may survey our customers regarding the level of our service. Please tick here if you do not wish to be included in any future survey.

If you provide us with information of any other person, you confirm that they consent to the processing of their personal information in the manner set out above and that you have fully informed them of:

- the purposes for which their information will be processed;
- to whom their information may be disclosed; and
- their right to apply for a copy of their information that is held by us and their right to have any inaccuracies in their information corrected.

If you have any questions regarding our processing of your personal information, please contact the Data Protection Officer at Prudential International Assurance plc, Montague House, Adelaide Road, Dublin 2, Ireland.

\* The Prudential Group means our ultimate holding company, Prudential plc, and its subsidiaries.

### Application – by the applicant(s)

Please issue the bond on the basis specified in this application form.

It is our normal procedure to issue policy documents direct to the Bondholder(s). If you would prefer us to issue these to your financial adviser please tick the box below.

Please issue policy documents to our financial adviser

## Part 4 – Application – continued

### Signature of each owner

Signature of owner

The date of this Application

D	D	M	M	Y	Y	Y	Y
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Full name

Signature of owner

The date of this Application

D	D	M	M	Y	Y	Y	Y
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Full name

Signature of owner

The date of this Application

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Full name

Signature of owner

The date of this Application

D	D	M	M	Y	Y	Y	Y
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Full name

### Notes

- 6** This Application should be read and signed by the person(s) who own(s) the bond. You must be aged 18 or over to be the owner of the bond.

## Data Protection Acts 1988 & 2003

The Company is registered with the Data Protection Commissioner and will comply with the Data Protection Acts 1988 & 2003 in the processing of this Application and the maintenance and record keeping of any contract(s) concluded as a result of this Application.

## Warning – material facts

A material fact is any fact which might influence the assessment and acceptance of your Application. A material fact may affect our decision on whether your Application is acceptable and whether the contract should be subject to any special terms. Failure to disclose a material fact may affect entitlement to benefits under the contract and could result in the contract being void. The need for disclosure applies to any material fact you become aware of when you submit the Application. It also applies to any material fact you become aware of before the contract starts. If you are in any doubt whether certain facts are material, these facts should be included.

## To be completed by your financial adviser

1.a) Adviser's name

1.b) Adviser's reference number

1.c) Adviser's agency number

2. Adviser's address

Postcode

Telephone number

Fax number

### Financial adviser checklist

Please ensure that the following information/documentation accompanies this Application Form. Failure to provide all relevant information will result in a delay to this Application being processed.

**Please note that interest will not be credited to payments received prior to the receipt of all documentation requirements.**

**Please tick if attached**

- If paying by cheque or banker's draft, please make payable to Prudential International
- If paying by telegraphic transfer, the copy telegraphic transfer form.  
(*The original should be sent to the client's bank.*)

## To be completed by your financial adviser – continued

### Commission

(a) Maximum Initial Commission (IC)

 %

Initial Commission can be given up in favour of Renewal Commission at the rate of 0.1% of Renewal Commission for each 0.6% of Initial Commission. The overall rule is as follows:  $(b) + (6 \times (c)) \leq (a)$ .

(b) Initial Commission you wish to take

 %

(c) Renewal Commission you wish to take (RC)

 %

*Looking at an example where the maximum Initial Commission is 6% and you wish to take 3% Initial Commission plus 0.5% Renewal Commission:*

(a) Max IC = 6%

(b) IC to be taken = 3%

$(3\%) + (6 \times 0.5) = 6\%$   
(so does not exceed the overall maximum rule)

(c) RC to be taken = 0.5%

(d) Commission to be given up to increase the allocation rate of the additional investment

 %

This will be used to increase the allocation percentage for the additional investment,  $(a) - (b) - (6 \times (c))$  where Initial and/or Renewal Commission are being given up on the additional investment.

*Looking at the above example, where the maximum Initial Commission is 6% and you wish to take 3%, but in this case wish to give up the 0.5% Renewal Commission to enhance the allocation factor:*

(a) Max IC = 6%

(b) IC to be taken = 3%

(c) RC to be taken = nil

*This will increase the allocation factor by 3.0%.*

(d)  $6\% - 3\% - (6 \times 0) = 3.0\%$  commission to be given up to the additional investment



**[www.pru.co.uk/international](http://www.pru.co.uk/international)**

The registered office of Prudential International is in Ireland at Montague House, Adelaide Road, Dublin 2. Prudential International is a marketing name of Prudential International Assurance plc. Registration No. 209956. Telephone number +353 1 476 5000. If the Company should become unable to meet its liabilities, the Financial Services Compensation Scheme will protect eligible policyholders habitually resident in the UK when their contract starts, with effect from 1 December 2001. This protection does not extend to externally-linked investments. Prudential International Assurance plc is authorised by the Central Bank of Ireland and is subject to limited regulation by the Financial Services Authority for UK business. Details on the extent of our regulation by the Financial Services Authority are available from us on request.