



Transferring Scheme Information (Personal Pension, Income Drawdown with SIPP Option)

Please use black ink and write in CAPITAL LETTERS or tick as appropriate. Any corrections must be initialled. Please do not use correction fluid as this will invalidate your form.

About this form

If you have any questions about this form, you can call us on **0808 234 0808** between 8:30am and 6pm Monday – Friday. Calls may be monitored or recorded for quality and security purposes.

Please return all 4 pages of this form in the enclosed reply paid envelope to: **Prudential, Stirling FK9 4UE**

Part 1 – Client details

Name

Address

Postcode

Date of birth

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Plan reference number

Part 2 – Details of transferring arrangement

Full name of scheme/arrangement

HM Revenue and Customs Reference number

Address

Postcode

Where is the transfer coming from?

UK Scheme Transfers

Occupational Scheme

S32 with GMP

Other

OR

Overseas Scheme Transfer

Please tick the appropriate box.

If Other, please give full details – evidence of approval may be required.

Further information may be required for transfers from overseas schemes.

Part 2 – Details of transferring arrangement – continued

Is the transfer a result of a divorce "pensions splitting" order?

Yes No

If the pension being shared is a pension in payment please let us know the amount of the transfer value from which no further tax free cash may be taken.

Part 3 – Transfer details

Total amount of transfer value

£

Are there any crystallised rights included in the transfer value? If **Yes**, please also complete the "Information for Drawdown to Drawdown Transfer" section.

Yes No

Is any part of the transfer uncrystallised rights that originated in an approved occupational pension scheme where, on or after 27 July 2004 but before 6 April 2006, the applicant took a tax-free lump sum but delayed taking pension?

Yes No

If **Yes**, and this does not apply to the whole transfer value, please confirm the value that has already attracted tax-free cash.

£

Protected Rights Amount – cash equivalent of Guaranteed Minimum Pension, salary-related contracted-out benefits for pensionable service after 5 April 1997 or Protected Rights included in the transfer for contracting-out.

£

Tax Years (if any) covering contracted-out liability included in the transfer value

0 6 0 4

to

0 5 0 4

Is the transfer part of a block transfer from a registered pension scheme?

Yes No

Note: If the member has been a member of our scheme for more than 12 months we will ignore any details given below.

Does the investor qualify for Protected Tax-Free Cash for pre 6 April 2006?

Yes No

Does the investor have a Protected Early Pension Age?

Yes No

If **Yes**, to either of the protection questions above please complete the rest of this section.

If **No**, please go to Drawdown to Drawdown section overleaf if required, or direct to the declaration on page 4.

Protected Tax-Free Cash amount at 5 April 2006

£

Fund value at 5 April 2006

£

Protected Early Pension Date (this date must be a birthday)

D D M M Y Y Y Y

Does the Transfer Value include the value of any contributions paid, or benefits earned, after 5 April 2006?

Yes No

Has there been a previous partial transfer out from the scheme

Yes No

If **Yes**, value of previous transfer out

£

Are all benefits available from the scheme payable as a Standalone Lump Sum?

Yes No

Any part of the transfer value covering contracted-out benefits will be used to purchase Protected Rights. Please note the Government has confirmed that contracting out for this type of pension arrangement will cease with effect from 6 April 2012.

Where the transferring scheme is a contracted-out salary related scheme which operated the 5% limited revaluation basis and paid the limited revaluation premium (LRP), the transferring scheme should write to HM Revenue & Customs, when the CA1617 (RD562D) or CA1605 (RD565) is sent, to confirm that the LRP should be refunded to Prudential.

Contracted-out (C/O) years are complete tax years for Personal Pension and Stakeholder Pension Scheme purposes – so, where C/O liability originated in a company pension scheme, the dates are: the 6 April preceding or coincident with the C/O start date and the 5 April following or coincident with the C/O stop date.

Please also give details of broken periods of contracted-out (C/O) liability included in the transfer value.

Part 3 – Transfer details – continued

Information for drawdown to drawdown transfers

Does the transfer payment include Income Drawdown Funds with:

- › Different GAD review dates? Yes No
- › Pre and post 6 April 2006 drawdown? Yes No
- › Protected Rights and Non Protected Rights? Yes No

If the answers to any of the above are **Yes**, we need the details of each fund separately.

Transfer Value of Income Drawdown Fund

Value of Protected Rights in Income Drawdown Fund

Were funds already in drawdown at 6 April 2006? Yes No

When was the last GAD review?

Gilt Yield used at last GAD review %

Current Maximum Income (max GAD)

Will the current maximum change at the start of the new GAD year as a result of part annuitisation or pension sharing? Yes No

If **Yes**, what will the new limit be? gross

Total amount withdrawn in the current GAD year gross

Value of fund at date funds were first moved to drawdown

(If funds were phased show the total of the fund values when they were moved into drawdown).

If the funds were moved to drawdown after 5 April 2006, what is the percentage of total standard Lifetime Allowance used by all BCEs connected to the transfer value quoted in this section %

Does the member have any short term annuity contracts currently in payment from the unsecured pension fund? Yes No

If Yes, we will contact you for further details.

Declaration and certification from transferring arrangement

1. We declare that to the best of our knowledge and belief the information given in all parts of this application is correct and complete.
2. We confirm that the transfer value detailed in this form is consistent with UK legislation and meets the requirements for equal treatment of retirement benefits between males and females in the same category of employment to the extent required by the Treaty of Rome.
 - › consistent with UK legislation and
 - › meets the requirements for equal treatment of retirement benefits between males and females in the same category of employment to the extent required by the Treaty of Rome.
3. If the transfer value is subject to a Court Order following the Investor's divorce (an "earmarking order"), a copy of this Order is attached.

copy attached
4. Where Protected Rights are being transferred we have completed and sent appropriate CA form.

copy attached

Signature

X

Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

For and on behalf of the administrator of the transferring scheme or the insurer of the transferring arrangement or contract.

The Prudential (SAL) Personal Pension Scheme is an appropriate personal pension scheme and has been allocated HMRC pension scheme tax reference number 00605330RK and ASCN A7001074K.



www.pru.co.uk

'Prudential' is a trading name of The Prudential Assurance Company Limited, which is registered in England and Wales. This name is also used by other companies within the Prudential Group, which between them provide a range of financial products including life assurance, pensions, savings and investment products. Registered Office at Laurence Pountney Hill, London EC4R 0HH. Registered number 15454. Authorised and regulated by the Financial Services Authority.

PPFF10002 03/2011