



Application form

Please use black ink and write in CAPITAL LETTERS or tick as appropriate. Any corrections must be initialled. Please do not use correction fluid as this will invalidate your application.

About this form

If you have any questions about this form, you can call us on **0845 640 1000** between 8am and 6pm Monday to Friday. Calls may be monitored or recorded for quality and security purposes.

Please return all 8 pages of this form to:

Prudential, Stirling FK9 4UE

This form should only be used for applications for additional investments to:

Prudence Bond and Prudence Distribution Bond (where original investments were not made through Flexible Investment Plan, Prudence Prospects Bond, Prudence Managed Investment Bond, Prudence Managed Bond).

Adviser note – Please insert the reference number from the appropriate illustration.

Reference number

Name of registered individual

Prudential reserve the right not to pay commission or allow commission to be given up into a bond or plan for top-up payments where withdrawals have already been taken from any Prudential bond or plan in the last 12 months. This excludes regular withdrawals of 5% a year or less.

Please complete one form for each bond (up to 20 separate Policies) to which an additional investment is to be made.

Part 1 – Details of investment

I/We wish to make an additional investment of £

(See note below for minimum premium) to be divided equally between

All the identical Policies, numbers commencing 000 in my bond.

Note: For Prudence Bond and Prudence Managed Investment Bond policies effected on or after 01.10.2002 the minimum additional investment is £5,000.

For Prudence Distribution Bond policies effected on or after 01.10.2002 the minimum additional investment is £1,000.

For Prudence Bond, Prudence Managed Investment Bond, Prudence Managed Bond and Prudence Distribution Bond policies effected on or before 30.09.2002 the minimum additional investment is £1,000.

For Prudence Bond policy numbers specifically prefixed with LH or LD the minimum additional investment is £500.

For Prudence Prospects Bond the minimum additional investment is £5,000.

Prudence Bond/Prudence Managed Investment Bond/Prudence Managed Bond only

The Prudential Individual Life Funds are listed in our "Prudence Bond & Managed Investment Bond Fund Guide" (INVS10900). Please note that the Distribution Fund is only available through Prudence Distribution Bond.

The minimum investment in each Fund is £500. You may choose a total of up to 10 funds including your existing fund choices, throughout the duration of the policies.

Have you taken any withdrawals (excluding regular withdrawals of 5% a year or less) from any Prudential bond or plan within the last 12 months? Yes No

If Yes, please enter policy number(s)

For Prudence Bond policy numbers specifically prefixed LH or LD (e.g. LH123456 or LD123456) only the Life With-Profits Fund ("Optimum Return" With-Profits Fund) launched 29 April 1991 is available for additional investments. Information on the With-Profits Funds can be found in our brochure reference RUB5047.

A) With-Profits funds

You can invest in one or both of the following funds. Not available for Distribution Bond investments.

| Fund name | Percentage of total investment |
|--|--------------------------------|
| 1. Optimum Return (With-Profits) | <input type="text"/> % |
| 2. Optimum Bonus (With-Profits Series 3) | <input type="text"/> % |

B) Other unit-linked funds

Not available for Distribution Bond or Prudence Prospects Bond investments.

You can invest in any of our unit-linked funds, available for your bond.

| Fund name | Percentage of total investment |
|-----------|--------------------------------|
| 1. | <input type="text"/> % |
| 2. | <input type="text"/> % |
| 3. | <input type="text"/> % |
| 4. | <input type="text"/> % |
| 5. | <input type="text"/> % |
| 6. | <input type="text"/> % |
| 7. | <input type="text"/> % |
| 8. | <input type="text"/> % |
| 9. | <input type="text"/> % |
| 10. | <input type="text"/> % |
| Total | 100% |

Or

Prudence Distribution Bond

Please note that the Distribution Fund is the only fund that may be accessed through the Prudence Distribution Bond.

| Fund name | Percentage | Tick box |
|----------------------------|------------|--------------------------|
| Prudence Distribution Fund | 100% | <input type="checkbox"/> |

Part 2 – Withdrawals

Regular withdrawals

Only complete this section if you wish to either commence withdrawals or amend your existing withdrawal instruction.

Part 2 a) (Please complete only one part of 2a).

Please tick

Commence withdrawals

I/We wish to start taking regular withdrawals

Please complete Part 2b and Part 2c.

Amend withdrawals

I/We are taking regular withdrawals and wish to amend the details

Please complete Part 2b (and 2c if bank details are also to be amended).

Please note:

If you are currently taking regular withdrawals and there are no revised instructions shown below your regular withdrawals will continue, and

- 1) if the withdrawals are for variable amounts e.g. Regular (Reversionary) Bonus as "Income" Option, Full Distribution, or fixed % of fund value, then the amount will be increased in the future in line with the new additional investment **or**
- 2) if the withdrawals are for a fixed amount these will continue unaltered.

Part 2 b)

To be completed by those commencing or amending regular withdrawals.

Payments will be made by direct credit to the bank or building society account detailed overleaf.

I/We require that units from my/our bond are cancelled as follows:

Units to the value of

£ each year

Or

Percentage of total investment to be withdrawn each year

 %

Or

Percentage of unit value to be withdrawn each year
(Not applicable to a Distribution Bond)

 %

Or

The Full Distribution (Distribution Bond only)

 (please tick)

Or

The Regular (Reversionary) Bonus as "Income" Option (With-Profits only)

 (please tick)

If your investment is in both With-Profits Funds, the Regular Bonus as "Income" Option will be taken from both Funds unless you specify otherwise in the box on next page.

Date units are to be first cancelled**

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| D | D | M | M | Y | Y | Y | Y |
|---|---|---|---|---|---|---|---|

* For a Distribution Bond when "Full Distribution" is requested, no date needs to be entered as the first payment will be made after the distribution that follows receipt of this request, on the same day of the month as the bond commenced, and at the frequency requested below.

** The earliest payment under the Regular Bonus as "Income" Option (With-Profits only) will be based on the frequency selected. (e.g. if quarterly payments are requested, the first payment will be three months after acceptance of this request)

For policies where Annual Growth Reward* is available please refer to your Key Features prior to completion of the withdrawal instructions commencing a withdrawal

* See Note 6

Minimum payment £50 (except under the Regular Bonus as "Income" Option) See Notes 2, 3 & 4

Part 2 – Withdrawals (continued)

Payments will usually reach your account within five working days of units being cancelled.

Withdrawals should be paid at the following frequency (Please tick one choice)

Every month

Every 3 months

Every 4 months[†]

Every 6 months

Every year[†]

(† = Not applicable to Prudence Distribution Bond)

Regular withdrawal amounts will be deducted from all your chosen Funds unless otherwise specified. If you wish the amount to be taken from selected funds please specify the name of the fund(s) in the box below.

Fund name

Part 2 c)

Name of bank or building society

Address

Postcode

Sort code

Account number

Roll number (if applicable)

Account name

Details of the applicant

In the revised Joint Money Laundering Steering Group guidance, this product has been categorised as "increased risk". We are therefore required to gather additional information (enhanced due diligence) on our customer(s). Please answer all the questions below. Where there are joint applicants, please give details of both.

Applicant 1

Occupation/nature of business (trustees should simply enter trustee)

Annual income (including pension – if applicable)/Net annual turnover (not applicable to existing trustees)

Applicant 2

Occupation/nature of business (trustees should simply enter trustee)

Annual income (including pension – if applicable)/Net annual turnover (not applicable to existing trustees)

Source of funds for this investment

(e.g. a UK bank account)

Source of wealth (not applicable to corporate or trustee applicants)

(Origin of funds for this investment e.g. saved from salary, inheritance, property sale, divorce settlement)

Please make cheques payable to: The Prudential Assurance Company Limited.

Part 3 – Declaration

- A. I/we enclose a cheque for my/our additional investment made payable to The Prudential Assurance Company Limited and request that the appropriate number of units be allocated in the fund(s) indicated. It is understood that a copy of this completed application and the terms and conditions are available from the Prudential on request.
- B. I/we declare that the information given in this application is true and complete to the best of my/our knowledge and belief.
- C. Please read the key features document as this will provide you with important information regarding the key risks and benefits of the product(s) to help you make a decision. Please also read the guide to fund options, which is available from your Financial Adviser, as this will provide you with full details of the funds available, their objectives, Prudential's Risk rating of these funds and charges to help you select the funds suitable for your needs.

How we use your personal data

For a copy of your data protection notice please refer to your original application form. If you have any questions about this please write to:

The Information Risk and Privacy Department, The Prudential Assurance Company Ltd, 3 Sheldon Square, London, W2 6PR.

For certain products we will need to process sensitive personal data such as health data. By signing and returning this form, you consent to us processing your sensitive data.

Prudential Assurance Company Limited is part of the Prudential group of companies which at the time of printing includes Prudential UK & Europe, the M&G Investments Group, Prudential Corporation Asia, Jackson National Life, and PPM America Inc (indirect wholly owned subsidiary).

Signature of owner 1

Date signed

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| D | D | M | M | Y | Y | Y | Y |
|---|---|---|---|---|---|---|---|

Print full name

Signature of owner 2

Date signed

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| D | D | M | M | Y | Y | Y | Y |
|---|---|---|---|---|---|---|---|

Print full name

Signature of owner 3

Date signed

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| D | D | M | M | Y | Y | Y | Y |
|---|---|---|---|---|---|---|---|

Print full name

Signature of owner 4

Date signed

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| D | D | M | M | Y | Y | Y | Y |
|---|---|---|---|---|---|---|---|

Print full name

If a policy is subject to trust, all trustees must sign

1. Some Prudence Bond, Prudence Prospects Bond, Prudence Managed Investment Bond, Prudence Managed Bond and Prudence Distribution Bond contracts issued since January 1995 have restrictions on the amount that may be taken by regular withdrawals. You should refer to the Key Features provided at the time of original investment, alternatively contact our customer service centre on 0845 640 1000 for details.
2. Withdrawals are taken by the cancellation of units to the value required. Except for the Distribution Bond when units will always be taken first from the Distribution Cash Fund, if units are held in more than one fund, units will be sold from each fund in proportion to the bid value of each fund at the date of unit cancellation. In certain circumstances such as encashments from a With-Profits fund, the company may impose a Market Value Reduction to reflect the effect of market conditions on the underlying investments in the fund. If you are unsure how this may affect your regular withdrawals please contact your Financial Adviser. For further information on Market Value Reduction please refer to your Key Features Document.

When additional investments have been made the requested regular withdrawals will be provided in proportion from the units relating to the original investment and each additional investment.

3. Withdrawals in excess of 5% of the investment in any policy year may create a Chargeable Event for tax purposes and produce a liability to higher rate income tax and/or affect any entitlement that you may otherwise have had to age related allowance and Child/Working tax credits. Please contact your financial adviser for details.
4. For Prudence Bond and Prudence Managed Bond policies that commenced before 2 April 2001, your investment into the "Optimum Bonus" Life With-Profits Fund (launched on 2 April 2001) will be made on the same terms as expressed in your Policy Provisions for the Life With-Profits Fund ("Optimum Return" Life With-Profits Fund) that was launched on 29 April 1991, as described in and referred to in those Policy Provisions and these terms will apply throughout the duration of your contract.
5. Policies effected between 2 January 2002 and 19 May 2002 may attract Annual Growth Reward if invested in the With-Profits funds provided they comply with certain conditions as detailed in the relevant Key Features.
6. Policies effected after 19 May 2002 may attract Annual Growth Reward on With-Profits Funds or, Unit-Linked Funds provided they comply with certain conditions as detailed in the relevant Key Features.
7. Full terms and conditions of Prudential's Bonds are available from our administration office, at Prudential, Stirling FK9 4UE.
8. The value of an investment may fluctuate and is therefore not guaranteed. You may not get back the full amount of your investment.

Confirmation of verification of identity – private individual

Introduction by an FSA-Regulated firm

1. Details of individual

Full name of customer

Current address

| |
|----------------------|
| <input type="text"/> |
| <input type="text"/> |

Previous address if individual has changed address in the last three months

| |
|----------------------|
| <input type="text"/> |
| <input type="text"/> |

Date of birth

| | | | | | | | |
|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|
| <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |
|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|

2. Confirmation

I/we confirm that

- the information in section 1 above was obtained by me/us in relation to customer;
- the evidence I/we have obtained to verify the identity of customer:

(tick one only)

meets the standard evidence set out within the guidance for the UK Financial Sector issued by the Joint Money Laundering Steering Group

Or

exceeds the standard evidence (written details of the further verification evidence taken are attached to this confirmation)

Signature

| |
|----------------------|
| <input type="text"/> |
|----------------------|

Name

Position

Date

| | | | | | | | |
|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|
| <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |
|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|

3. Details of introducing firm (or sole trader)

Full name of regulated firm (or sole trader):

FSA reference number:

Explanatory notes

- A separate confirmation must be completed for each customer (e.g. joint holders, trustee cases and joint life cases). Where a 3rd party is involved, e.g. a payer of contributions who is different from the customer, the identity of that person must also be verified, and a confirmation provided.
- This form cannot be used to verify the identity of any customer that falls into one of the following categories:
 - those who are exempt from verification as being an existing customer of the introducing firm prior to the introduction of the requirement for such verification;
 - those who have been subject to Simplified Due Diligence under the Money Laundering Regulations; or
 - those whose identity has been verified using the source of funds as evidence.
- This confirmation must carry an original signature, or an electronic equivalent.

Please ensure you complete the Adviser checklist on Page 8.

See explanatory notes below

Adviser checklist – to be completed by Financial Adviser

Financial Adviser Note – To help us process the application accurately, please tick the relevant box and complete all the details below:

Have you attached a copy of the relevant quotation?

Yes

No

If this is not available, insert illustration reference number here and please ensure you complete the commission details below

Name of registered individual

Prudential agent number (e.g. 012345)

Was advice given? (We cannot process this application unless this question has been answered).

Yes

No

Please complete the details below to help us apply the correct commission terms

Do you want to receive full or renewal commission?

Full

Renewal

Do you want to sacrifice any of your commission?

Yes

No

Please state your expected commission amount, if known

£

Please complete details below to show us how your commission sacrifice (if any) is to be applied

| | Premium 1:1 Basis | Allocation Rate 1:0.8 Basis | Annual Management Charge 1:01 Basis |
|--|----------------------|--------------------------------|--|
| Percentage % | | | |
| Amount £ | | | |
| Wipe Out the initial charge (confirm amount, percentage or tick Box) | | | |
| Full Commission Give Up (please tick box) | | | |

Any further instructions please specify here

To avoid delays in processing this application, please check you have:

› Completed the verification of identity form (Page 7)

› Completed the details in full above for commission; and

› Confirmed whether advice was given

www.pru.co.uk

"Prudential" is a trading name of The Prudential Assurance Company Limited, which is registered in England and Wales. This name is also used by other companies within the Prudential Group, which between them provide a range of financial products including life assurance, pensions, savings and investment products. Registered Office at Laurence Pountney Hill, London EC4R 0HH. Registered number 15454. Authorised and regulated by the Financial Services Authority.

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