

# Investment alteration request form

## How to fill out this form

Please use black ink and CAPITAL LETTERS or tick  as necessary.

Any corrections must be initialled; do not use correction fluid.

Please read the notes on pages 4 and 5.

Two Trustees or other signatories authorised by the scheme documentation must sign. At least one of these signatories should be on behalf of the Managing Trustees.

## About this form

For use with Trustee Investment Plan (TIP), Exempt Capital Investment Bonds (ECIB) and M&G Asset Managed Plans.

This form can be used to alter investment strategy.

## Part A – Scheme details

Scheme Name

Plan Number









We request the revised investment strategy as detailed in this form.

If we have chosen to invest in any of the PruFund Funds and we have Trustee Investment Plans taken out after 31 December 2002 and before 7 November 2011 we confirm that:

- We have read the notes on page 4 and 5 about PruFund and the additional documents mentioned in the notes.
- We are fully aware of the features of these funds and agree to be bound by the updated terms & conditions.

### Trustee 1

Signed for and on behalf of the Trustees

Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

### Trustee 2

Signed for and on behalf of the Trustees

Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

If this request is to apply to all the plans in this scheme please tick this box.

Note – If the box is not ticked this request will apply to the above plan only.

## Part B – Target Investment Strategy

Fractions of 1% should not be used.

Only one Protected fund is allowed under each plan.

The guarantee term options available are shown in the document “The PruFund Range of Funds: Guarantee Options” INVS11470. You can get a copy from your adviser or from [www.pru.co.uk](http://www.pru.co.uk)

If you took out your plan before 7 November 2011 you can select the guarantee to match your selected investment term, provided this is within the minimum and maximum terms available.

If the guarantee term selected ends less than 12 months before your selected investment term the guarantee date will always be set to your selected investment term, except where you have selected the maximum guarantee term available.

Complete this section to specify the target percentage in each investment fund selected. We will calculate the percentage of units to be cancelled to meet your Target Investment Strategy.

Do NOT complete this section if you want to specify your exact requirements on the percentage(s) of existing investments to be cancelled – instead complete the **Detailed Fund Selection** in Part C.

Fund	Target %
<b>Total</b>	<b>100%</b>

There is a maximum number of funds which can be used for investment at any one time, normally 6. Certain Fund options (e.g. each PruFund fund) count as two fund choices.

Plans which were taken out with M&G Pensions and Annuity Company can invest in a maximum of 20 funds.

**TIP (1997 Series) plans only:** Selected With-Profits Investment Term:  
(This must be at least 5 years from the switch date. The Selected With-Profits Investment Term for any existing With-Profits investments will be altered automatically to match this new selection.)

 years

**TIP (Series A) taken out after 31 December 2002.** If you are investing in a PruFund Protected fund please select the guarantee term from the options available.

 years

Alternatively, if you took out your plan before 7 November 2011, tick this box if you want the guarantee to apply at the end of your selected investment term.

**Post-RDR TIP (Series A) taken out after 31 December 2012.** If you are investing in a PruFund Protected fund please select the guarantee term from the options available.

 years

Ongoing Adviser Charges will be calculated and deducted proportionately across all funds including PruFund Protected funds. As this will reduce the Guaranteed Minimum fund, you can choose to exclude PruFund Protected Funds from the deduction of this charge.

Please tick the box if you do not want Ongoing Adviser Charges to be deducted from the PruFund Protected fund. This option is not available if, after completing this investment alteration request, you will only be invested in a PruFund Protected fund.

If part B is completed do not complete part C.

## Part C – Detailed Fund Selection

Complete this section to specify your exact requirements on the percentage(s) of existing investments to be cancelled and the percentage(s) of cash realised to be applied to the revised fund selection.

There is a maximum number of funds which can be used for investment at any one time, normally 6. Certain Fund options (e.g. each PruFund fund) count as two fund choices.

Plans which were taken out with M&G Pensions and Annuity Company can invest in a maximum of 20 funds.

### Existing Investments

Fund	% to be cancelled

### Application of existing Investments

Fund	% of cash realised to be applied
<b>Total</b>	<b>100%</b>

**TIP (1997 Series) plans only:** Selected With-Profits Investment Term: (This must be at least 5 years from the switch date. The Selected With-Profits Investment Term for any existing With-Profits investments will be altered automatically to match this new selection.)

 years

**TIP (Series A) taken out after 31 December 2012.** If you are investing in a PruFund Protected Fund please select the guarantee term from the options available.

 years

Alternatively, if you took out your plan before 7 November 2011, tick this box if you want the guarantee to apply at the end of your selected investment term.

**Post-RDR TIP (Series A) taken out after 31 December 2012.** If you are investing in a PruFund Protected fund please select the guarantee term from the options available.

 years

Ongoing Adviser Charges will be calculated and deducted proportionately across all funds including PruFund Protected funds. As this will reduce the Guaranteed Minimum Fund, you can choose to exclude PruFund Protected funds from the deduction of this charge.

Please tick the box if you do not want Ongoing Adviser Charges to be deducted from the PruFund Protected fund. This option is not available if, after completing this investment alteration request, you will only be invested in a PruFund Protected fund.

Fractions of 1% should not be used.

Fractions of 1% should not be used.

Only one Protected fund is allowed under each plan.

The guarantee term options available are shown in the document "The PruFund Range of Funds: Guarantee Options" INVS11470. You can get a copy from your adviser or from [www.pru.co.uk](http://www.pru.co.uk)

If you took out your plan before 7 November 2011 you can select the guarantee to match your selected investment term, provided this is within the minimum and maximum terms available.

If the guarantee term selected ends less than 12 months before your selected investment term the guarantee date will always be set to your selected investment term, except where you have selected the maximum guarantee term available.

### General

Details of our funds, including charges and costs, can be found in our Fund Guides available from your adviser or directly from us.

As we actively review our funds the current fund range may differ from that available when you took out your Plan. We would suggest that you speak to your Financial Adviser about our current fund range before deciding to make any changes.

Once an investment switch has been processed it cannot be cancelled.

Any Switching Charges will be deducted before application of the investment.

### Member Designated Plans

Only applied to plans set up on or after 7 November 2011. These are plans which are linked to a member when the plan is set up.

### With-Profits

Investment in the With-Profits Fund is not allowed for ECIB.

For switches into the With-Profits Fund for TIP (1997 Series), a Selected With-Profits Investment Term of at least five years must be chosen.

For TIP (Series A):

- set up before 7 November 2011, switches into With-Profits Fund within three years of the end of the term are not allowed
- set up on or after 7 November 2011, switches into the With-Profits fund are only possible for member designated plans and where that member will be younger than age 85 at the time of the switch.

### PruFund

The PruFund funds are only available to TIP Series A plans taken out after 31 December 2002.

If you are interested in investing money in these funds we would suggest that you speak to your Financial Adviser as there are additional terms and conditions which apply to these funds. These are fully explained in your policy conditions and other documents.

### All Plans

You cannot invest in more than one PruFund Protected fund at any time.

### Plans set up after 31 December 2002 and before 7 November 2011

You will need to ask for and read a current copy of the following documents:

- Policy Conditions (PENT10447)
- Your With-Profits Plan – a guide to how we manage the fund (WPGB0031).

You can get these from your Financial Adviser or direct from Prudential, call 0808 100 3429. Calls may be recorded or monitored for quality and security purposes.

A switch of existing investments into a PruFund Protected fund is not allowed if the period to the end of the term is less than the current minimum guaranteed period.

### Plans set up on or after 7 November 2011

For member designated plans the following restrictions apply:

- Switches into a Protected PruFund are only allowed if the linked member will be younger than age 99 at the chosen guaranteed date
- If the linked member is aged 98 or over, you cannot choose a PruFund Fund

The funds available for investment for ex-Scottish Amicable Trustee Investment Plans, (TIP 1997)(TIP Series A) and Exempt Capital Investment Bonds (ECIB):

Cash	Japanese	Managed
Equity	M&G Episode Growth	US Equity
European	M&G Property Portfolio	Asia Pacific Markets
International	M&G Smaller Companies	With-Profits#

# Not available for Exempt Capital Investment Bonds (ECIB).

## Notes – continued

The following funds are also available for Series A Trustee Investment plans:

Corporate Bond#	M&G Managed Growth	PruFund Growth###
Risk Managed Passive 1	M&G UK Equity Income#	PruFund Protected Cautious###
Invesco Managed Growth	Managed Distribution	PruFund Protected Growth###
M&G Corporate Bond	PruFund Risk Managed 1###	Risk Managed Active 4
M&G Dividend	PruFund Risk Managed 2###	UK Equity and Bond
M&G Gilt & Fixed Interest Income	PruFund Risk Managed 3###	Invesco Income
M&G Global High Yield Bond	PruFund Risk Managed 4###	
M&G Index Tracker##	PruFund Cautious###	

# This fund is only open to existing investors

## Not available for TIP (Series A) taken out after 17/01/2005.

### These funds are only available for TIP (Series A) taken out after 31 December 2002.

**The following funds are available for all ex-M&G\* plans, except for the Managed Income Asset Management Plan (see below):**

M&G Property Portfolio	Pru (M&G) Japan Fund	Pru Cash Fund
Pru (M&G) Corporate Bond Fund	Pru (M&G) Managed Income Fund	Pru Equity Fund
Pru (M&G) European Select Fund	Pru (M&G) PPP Fund	Pru International Fund
Pru (M&G) Gilt & Fixed Interest Income Fund	Pru (M&G) Recovery Fund	Pru US Equity Fund
Pru (M&G) Global Select Fund	Pru (M&G) UK Income Distribution Fund	Pru Asia Pacific Markets
Pru (M&G) Global Themes Pension Fund	Pru (M&G) UK Select Fund	

\* Plans which were taken out with M&G Pensions & Annuity Company.

Only Managed Income Asset Management plans can invest in the Managed Income Fund (Ex M&G). M&G\* plans can invest in a maximum of 20 funds at any one time.

[pru.co.uk](https://pru.co.uk)

"Prudential" is a trading name of The Prudential Assurance Company Limited which is registered in England and Wales. Registered Office at 10 Fenchurch Avenue, London EC3M 5AG. Registered number 15454. Authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority.