



Application form

TB1

Please use black ink and write in CAPITAL LETTERS or tick as appropriate. Any corrections must be initialled. Please do not use correction fluid as this will invalidate your application.

About this form

If you have any questions about this form, you can call us on **0808 234 3030** between 8.30am and 6pm Monday to Friday. Calls may be monitored or recorded for quality and security purposes. Please return all 6 pages of this form to **Prudential, Stirling FK9 4UE**

For use with all Premier Money Purchase occupational pension schemes.

- ▶ Please use a separate form for each transfer value. A copy of the terms and conditions and the completed application form are available on request.
- ▶ As you complete the form, please read the notes which are there to help you. **It is a serious offence to give false information. To do so, could lead to prosecution.**
- ▶ Parts A–D should be completed by the receiving scheme trustees and parts E–F by the paying scheme/arrangement.

Please read the key features document as this will provide you with important information regarding the key risks and benefits of the product to help you make a decision.

Please also read the guide to fund options as this will provide you with full details of the funds available, their objectives, Prudential's Risk rating of these funds and charges to help you select the funds suitable for your needs.

The receiving scheme is a contracted-in money purchase occupational scheme insured with Prudential. The transferring arrangement will usually want to know the HM Revenue & Customs Reference Number for the receiving scheme.

Part A – Details of receiving scheme

Name of scheme

Prudential Scheme Reference Number

HMRC Reference Number

Part B – Member's details

* If "Other", please state title.

Title Mr Mrs Miss Ms Dr Other*

Surname

Full forename(s)

Date of birth

Sex

Male

Female

National Insurance No.

Normal Retirement Date (this should be a birthday)

The normal retirement date should be a birthday, normally from 55-75.

HM Revenue & Customs normally only allow pension ages earlier than 55 where the member has a protected pension age.

Please show the percentage investment split you want.

Fractions of 1% should not be used.

You can invest in up to six funds, but the With-Profits Fund is not available if the member is within three years of Normal Retirement Date (and, if chosen, would be treated as an application to invest in the Cash Fund. The value of the Cash Fund can fluctuate depending on the underlying assets).

Please make sure your total equals 100%.

Part C – Investment of contributions

Please complete the table below to confirm the investment fund(s) to be used.

If the Lifetime Investment Option is required, you can choose the investment fund(s) to be used initially, or you can leave the selection to us.

Please see the guide to fund options brochure for information on our funds.

| Our funds | % to be invested in each fund |
|-----------|-------------------------------|
| | % |
| | % |
| | % |
| | % |
| | % |
| | % |
| Total | 100% |

If you want the Pension Protector Option OR the Lifetime Investment Option, please complete the rest of this section. Otherwise, please go to Part D now.

Pension Protector/Lifetime Investment Options

These options do **not** apply to With-Profits investments.

Lifetime Investment (Cautious)

Lifetime Investment (Opportunity)

Please tick only one option.

Lifetime Investment (Balanced)

Pension Protector Option

If you have chosen one of the Lifetime Investment strategies without choosing any investment linked fund(s), we will assume that you are happy to start with the funds we choose. There is a set basis, we do not make an individual choice.

Part D – Declaration

We declare that to the best of our knowledge and belief, the information given in this application is true and complete.

We agree to accept the transfer value in respect of the member detailed in Part B.

Signed for and on behalf of the Trustees of the receiving scheme

X

Date

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| D | D | M | M | Y | Y | Y | Y |
|---|---|---|---|---|---|---|---|

Parts E to F of this form should be completed by the Trustees or Administrator of the transferring arrangement, or insurer of "Section 32" or Retirement Annuity Plan.

Part E – Details of transfer value and transferring arrangement

Member's name

Name of transferring scheme/arrangement

HMRC Reference Number

Name & address of Trustee or Administrator of transferring arrangement

| |
|----------|
| |
| |
| Postcode |

Where is the transfer coming from?

Tick as appropriate

UK Scheme Transfers

Occupational Scheme

S32 with GMP

Other

Please give full details – evidence of approval will be required.

OR

Overseas Scheme Transfer

* If **"Yes"** the transfer value cannot be accepted unless the Trustees and Prudential agree that the pension credit can be held in the scheme.

Please make the cheque payable to Prudential.

Is the transfer as a result of a divorce "pension sharing" order?*

Yes No

If the pension being shared is a pension in payment let us know the amount of the transfer value from which no further tax-free cash may be taken.

Amount of transfer value

Is any part of the transfer uncrystallised rights that originated in an approved occupational pension scheme where, on or after 27 July 2004 but before 6 April 2006, the member took a tax-free lump sum but delayed taking pension?

Yes No

If **Yes**, and this does not apply to the whole transfer value, please confirm the value that has already attracted tax-free cash.

If the member has been a member of our scheme for more than 12 months we will ignore any details given on the next page.

Is the transfer part of a block transfer from a registered pension scheme?

Yes No

If **Yes**, please complete rest of this section.

If **No**, go to section F.

Part E – Details of transfer value and transferring arrangement – continued

Does the investor qualify for Protected Tax-Free Cash for pre 6 April 2006? Yes No

Protected Tax-Free Cash amount at 5 April 2006

Fund value at 5 April 2006

Does the investor qualify for Protected Early Pension Age? Yes No

Protected Early Pension Date (must be a birthday)

Does the Transfer Value include the value of any contributions paid, or benefits earned, after 5 April 2006? Yes No

Has there been a previous partial transfer out from the scheme? Yes No

If **Yes**, value of previous transfer out

Are all benefits available from the scheme payable as a Standalone Lump Sum? Yes No

Part F – Declaration

- We declare that:
- ▶ to the best of our knowledge and belief, the information on this form is true and complete.
 - ▶ the member has agreed in writing to, or requested payment of the transfer value as detailed on this form.
- ▶ the transfer value detailed in this form is:
- consistent with UK legislation and
 - meets the requirements for equal treatment of retirement benefits between males and females in the same category of employment to the extent required by the Treaty of Rome.

If the transfer value is subject to a Court Order following the member's divorce (an "earmarking order"), a copy of this Order is attached.

Copy attached tick box

Signed

For and on behalf of the Administrator or Trustee of the transferring scheme

Date

For completion by Financial Adviser

Commission instructions

Notes

Please tick only one of the boxes below to confirm your commission basis. For initial commission, this should be expressed as a percentage of the transfer value.

Please complete the amount you want to take.

Example:

Initial Initial %

Please complete only one option from a) to d) below

* Initial commission is subject to a minimum plan term of 10 years.

- | | | | |
|---------------------------------|--------------------------|------------------------|------------------------|
| | | % to be taken | |
| a) Initial* | <input type="checkbox"/> | <input type="text"/> | % |
| b) Fund related | <input type="checkbox"/> | <input type="text"/> | % |
| | | Initial | Fund related |
| c) Mixed Initial* /Fund related | <input type="checkbox"/> | <input type="text"/> % | <input type="text"/> % |
| d) Nil Commission | <input type="checkbox"/> | | |

Was advice given? Yes No

Your Prudential Company Representative Number (if applicable)
e.g. 1 2 3 4 5 X

Your FSA Number (Registered Individuals) R.I. Number OR
e.g. A B C 1 2 3 4 5

Registered Individual's forename(s) AND

Registered Individual's surname

For Prudential use only

Cheque Acknowledgement Number Cheque amount £

Date stamp SD agreed by

Advice given

CR/STFF Yes No CCC Yes No SCC

M Yes No EC Yes No AM

AC Yes No AOI



www.pru.co.uk

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