

Premier Group Transfer Plan (GPP/Group Stakeholder) application form

BQ1

How to fill out this form

Please use black ink and write in CAPITAL LETTERS or tick as appropriate.

Any corrections must be initialled. Please do not use correction fluid as this will invalidate your application.

About this form

Please complete this form in CAPITAL Letters, using black ink. Sections A, B, C and F should be completed by the Investor – and D, E and G by the administrator or insurer of the transferring arrangement.

- If you make a mistake, please cross it out, write the correct details alongside and initial your correction(s).
- Please use a separate form for each transfer value.
- As you complete the form, please read the notes which are there to help you.
- Please read the Key Features Document as this will provide you with important information regarding the key risks and benefits of the product to help you make a decision.

- Please also read the Fund Guide, available at pru.co.uk/funds/guides, as this will provide you with full details of the funds available, their objectives, Prudential's risk rating of these funds and the charges and costs to help you select the funds suitable for your needs.

Copies of the Plan Terms and the completed application form are available on request.

It is a serious offence to give false information, and to do so could lead to prosecution.

Please indicate the option required

Group Personal Pension

Group Stakeholder *tick only one box*

Part A – Investor's details

Title Mr Mrs Miss Ms Other

Surname Forenames

Permanent residential address

Postcode

Gender Female Male Date of birth

D	D	M	M	Y	Y	Y	Y
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National Insurance (NI) number

Are you a Prudential policyholder? Yes No

Name of Scheme

Group Stakeholder/Group Personal Pension*

Scheme No. (if known)

				R			
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Have you previously accessed benefits flexibly? ** Yes No

If Yes, please specify the date these were accessed.

D	D	M	M	Y	Y	Y	Y
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Please now complete section B.

* Delete as appropriate.

** There are a number of possible ways you may trigger the Money Purchase Annual Allowance (MPAA) when accessing your benefits flexibly. Your pension scheme or provider will have informed you if this is the case. For further information please speak to your Financial Adviser.

Part B – Transfer details and investment instructions

Name of transferring scheme

Scheme or policy number

What type of pension is this?

- Please tick the appropriate box
- Occupational Pension Scheme – DB
- Occupational Pension Scheme – DC with Safeguarded (GAR or GAR equivalent)
- Occupational Pension Scheme – DC with Safeguarded (non-GAR or GMP)
- Occupational Pension Scheme – DC with no Safeguarded
- S32 with Safeguarded (GMP)
- S32 with no Safeguarded
- PP/RAC/FSAVC with Safeguarded (GAR or GAR equivalent)
- PP/RAC/FSAVC with Safeguarded (non GAR)
- PP/RAC/FSAVC with no Safeguarded

Name and address of the scheme trustees / administrator

Postcode	

Please indicate the type of transfer

- Full Partial

Estimated Transfer Value

£

Is the policy subject to any existing or proposed trustee in bankruptcy orders, or earmarking or pension sharing orders, or other receiving orders?

Yes No

If you do not complete this section we will invest your contribution in the default investment strategy*.

Your employer may have set up a Scheme Investment Strategy. This will be in place if you are in a Group Stakeholder Scheme or if your scheme is being used for qualifying purposes under the “Better Workplace Pensions” initiative. This does not represent a recommendation on behalf of Prudential. Your adviser will be able to give you information about any Scheme Investment Strategy.

If your scheme is being used for qualifying purposes under the “Better Workplace Pensions” initiative, the charges for this strategy cannot exceed an equivalent of 0.75% per year on the value of funds under management, excluding transaction costs.

Do you want the Scheme Investment Strategy to apply?

Yes No

If Yes, please now go to Section C.

If No, please discuss this with the Scheme Financial Adviser and complete the remainder of this section.

If you do not complete this section we will invest your contributions in the Lifetime Investment Profile targeting retirement options, investing in the Prudential Managed Fund initially.

If you want a Lifetime Investment Profile, you can also choose the investment linked fund(s) to be used initially, or you can leave the selection to us. If you do NOT want a Lifetime Investment Profile, please complete the table below to confirm the investment fund(s) to be used.

Please tick the appropriate box

* The default investment strategy is the Lifetime Investment Profile targeting **retirement options**, investing in the Prudential Managed Fund initially.

Please show the percentage investment split you want for your plan.

Fractions of 1% should not be used.

You can invest in up to 20 funds.

The With-Profits Fund is not available for new or additional investment if you are within three years of your Selected Retirement Age (and, if chosen, would be treated as an application to invest in the Cash Fund).

If you have chosen the Stakeholder Pensions Option you cannot invest in the With-Profits Fund.

Part B – Transfer details and investment instructions – continued

Chosen Funds	% to be invested in each fund
	%
	%
	%
	%
	%
	%
Total	100%

Please make sure your total equals 100%.

Lifetime Investment Profiles

- Lifetime Investment Profile targeting **retirement options**
- Lifetime Investment Profile targeting **an annuity**
- Lifetime Investment Profile targeting **100% cash**
- Lifetime Investment Profile targeting **drawdown**

Please tick only one box.

Further information about Lifetime Investment Profiles can be found in your Fund Guide.

If you have chosen one of the Lifetime Investment Profiles without choosing any investment linked fund(s), we will assume that you are happy to start with the funds we choose for you. There is a set basis, we don't make an individual choice. Your Fund Guide shows what this will be.

[Please now go to section C.](#)

Part C – Death benefit beneficiaries

Failure to complete this part may delay payment of death benefits.

Please show details of the person you would want to get death benefits from your plan.

Prudential has discretion on the beneficiaries for death benefit. In exercising this discretion, Prudential is not legally obliged to follow your wishes, but will take them into account.

Title Mr Mrs Miss Ms Dr Other

Surname Full Forenames

Address

 Postcode

Relationship to you (if any) Proportion of benefits %
 (If not 100%, details of any additional beneficiaries should be attached to this application.)

Please now sign and date the Declaration in section F.

Part D – Details of transferring arrangement

Full name of Scheme/Arrangement HMRC Reference Number

Name and Address of Trustee/Administrator

 Postcode

Overseas Pension Transfer

If the transfer is coming from an Overseas scheme, please tick this box

Is the transfer a result of a divorce "pensions splitting" order? Yes No

Is the pension being shared a pension in payment? Yes No

If Yes, please show the amount of the transfer value from which no further tax free cash may be taken. £

The discretionary distribution of death benefits means that Inheritance Tax will not normally apply.

If you want to choose additional beneficiaries, please write the details on a separate sheet of paper, and attach it to this form. Please make sure that the total for all your chosen beneficiaries is 100%.

The administrator or insurer of the transferring arrangement should complete section D, E and G.

If the transfer is coming from an overseas arrangement, the scheme must be a QROPS and further information may be required.

Part E – Transfer details

Total amount of transfer value

£

Is any part of the transfer uncrystallised rights that originated in an approved occupational pension scheme where, on or after 27 July 2004 but before 6 April 2006, the applicant took a tax-free lump sum but delayed taking pension?

Yes No

If yes, and this does not apply to the whole transfer value, please confirm the value that has already attracted tax-free cash.

£

Is the transfer part of a block transfer from a registered pension scheme?

Yes No

Note: If the member has been a member of our scheme for more than 12 months we will ignore any details given below.

Does the investor qualify for Protected Tax-Free Cash for pre 6 April 2006?

Yes No

Does the investor have a Protected Early Pension Age?

Yes No

If yes, to either of the protection questions above please complete the rest of this section.

If no, please go to Part F.

Protected Tax-Free Cash amount at 5 April 2006

£

Fund value at 5 April 2006

£

Protected Early Pension Date (this date must be a birthday)

Does the Transfer Value include the value of any contributions paid, or benefits earned, after 5 April 2006?

Yes No

Please indicate the type of transfer?

Full Partial

Has there been a previous partial transfer out from the scheme

Yes No

If yes, value of previous transfer out

£

Are all benefits available from the scheme payable as a Standalone Lump Sum?

Yes No

Part F – Investor’s declaration

Declaration to the Existing Pension Provider

1. I authorise, instruct and apply to the current provider to transfer sums and assets from the plan(s) as listed in Part D directly to Prudential and to provide any instructions and/or discharge required by any relevant third party to do so.
2. I authorise Prudential, the current provider, any contributing Employer and any financial intermediary named in this application to obtain from each other, and release to each other, any information that may be required to enable the transfer of sums and assets to Prudential.
3. I accept that in order to comply with regulatory obligations, Prudential and the current provider named in this application may need to verify my identity and residential address, and may use credit reference agency searches and ask for my documents to verify my identity and address.
4. Until this application is accepted and complete, Prudential’s responsibility is limited to the return of the total payment(s) to the current provider(s).
5. When payment is made to Prudential as instructed, this means that I shall no longer be entitled to receive pension benefits from the whole of the plan(s) listed in Part D where the whole of the plan(s) is transferring, or that part of the plan(s) represented by the payment(s) if only part of the plan(s) is transferring.

Declaration to Prudential and Existing Pension Provider

1. I accept responsibility in respect of any claims, losses, expenses, additional tax charges or any penalties that Prudential and the current provider may incur as a result of any incorrect, untrue, or misleading information in this application or given by me, or on my behalf, or of any failure on my part to comply with any aspect of this application.
2. I confirm that, where I am transferring the value of a Guaranteed Minimum Pension or salary-related contracted-out benefits for pensionable service after 5 April 1997, I acknowledge that benefits provided will be in a different form to those payable from the transferring scheme.

Declaration to Prudential

1. I request that the Trustee and Administrator or Insurer of my previous scheme or arrangement pays a transfer value to Prudential and that Prudential issue a Group Personal Pension or a Group Stakeholder Pension plan to me in respect of this transfer value, as confirmed in this application form.

I request that this plan incorporates one Retirement Arrangement in respect of the transfer value, the number of such separate Arrangements being decided by Prudential.

2. I declare that the information given by me in this form is correct and complete to the best of my knowledge and belief and agree that this application,

including any other written statement by me or the administrator or insurer of the transferring arrangement to Prudential and Prudential’s acceptance shall be deemed to form part of any resultant contract or contracts.

3. I understand that the administrator or insurer of the transferring arrangement will be discharged of all liability to or in respect of me under the transferring arrangement, insofar as the benefits under the said arrangement are represented by the transfer value shown in this form.

4. I understand that no benefits arising from any plan to be issued are capable of commutation, surrender or assignment except as permitted by the plan conditions and by Chapter 2 of Part 4 of the Finance Act 2004.

5. I consent to Prudential’s giving to or obtaining from the administrator or insurer of the transferring arrangement or others, such further information as may be reasonably necessary for the installation and administration of any plan to be issued.

6. If I have taken benefits from any pension arrangement, with the current or any other pension provider, in a way which means I am subject to the Money Purchase Annual Allowance (MPAA), I have supplied the date the MPAA first applied to me in Part A.

How we use your personal information

We, Prudential UK, may receive your personal information from the trustees of a pension scheme, your employer, or other financial services organisations (known as a Data Provider) and/or direct from you. Regardless of where we obtain such information from, we take the privacy and protection of your personal information seriously. We own the personal information we hold about you and decide what happens to it. This makes us a Data Controller in respect of the personal information. You should note that the Data Provider will also be a data controller in respect of the personal information they hold about you.

We’ve set out below information about our processing of your personal information, what rights you have, and how you can get in touch if you want to know more.

When we say personal information, we mean information about you which we receive from a Data Provider or any other personal information you provide directly to us. For example, this may include your name, date of birth and contact details. We collect personal information from you that is necessary for us to either provide you with the product or service you’ve requested or to comply with statutory or contractual requirements. Unfortunately if you don’t provide all of the information we require this may mean we are unable to provide our products and services to you.

Part F – Investor’s declaration – continued

Part A – How we use your personal information and why

We, M&G plc and our Business Partners, will use the personal information for the following purposes:

- the administration of our products and services, including to enable us to perform our obligations under any contracts or policies to you and to provide any relevant services as discussed with you prior to any purchase of a product or service
- complying with any regulatory or other legal requirements
- carrying out checks using agencies such as credit reference agencies, tracing companies, or publicly available information (See Part B for more)
- the provision of customer services – like to reply to a question, or tell you that something’s changing
- automated decision-making or profiling (see Part C for more)
- keeping your information on record and carrying out other internal business administration

In addition, we, M&G plc, and our Marketing Partners, will use the personal information you provide to us, together with other information, to send you direct marketing offers by electronic and non-electronic means including by post, as well as sending you introductions to products and services from carefully selected third parties also by post. Please see Part G for further details.

Some of the purposes above are necessary to allow us to perform our contractual obligations to you and to enable us to comply with applicable laws and regulation. We may also rely on legitimate interests in using and sharing your personal information for the purposes described above to improve our products and services. This allows us to explore ways to develop our business and to gain insights into how our products and services are used. To the extent that we need your consent to use your personal information for the purposes described above, you explicitly provide your consent by signing and returning this form, or as set out in Part G as appropriate. To the extent that your personal information is provided to us by a Data Provider and we need your consent to use your personal information for the purposes described above, the Data Provider is responsible for providing the consent to us.

Who we share your personal information with and why

We’ll share your personal information within M&G plc and with our Business Partners, for any of the purposes set out in Part A. If you have a joint policy or investment, the other person may receive your personal information too. If appropriate, we may also pass on your personal information to financial crime prevention agencies, any legal, regulatory or government bodies.

As we, M&G plc and some of our Business Partners are global companies, we might need to send your personal information to countries that have different data protection laws to the UK or the European Economic Area. These transfers will only be to countries in respect of which the European Commission has issued a data protection ‘adequacy’ decision, or to other countries, such as India or the United States of America, where appropriate safeguards have been put in place.

If you want to know more about these safeguards – like our use of the European Commission’s Model Clauses which govern the transfer of information outside of the European Economic Area – further information is available on request.

Any transfer of your personal information will always be done securely.

We keep your personal information for a set amount of time

Your personal information will be stored either for as long as you (or your joint policyholder) are our customer, or longer if required by law or as is otherwise necessary. It’ll always be in line with our data retention policy.

Part B – Reference checks

For certain products, we may use approved credit reference agencies, tracing companies, financial crime prevention agencies, or publicly available information, to help us to check your identity, as well as to prevent fraud and money laundering; this may include checks on your current or previous addresses. Results of these may be recorded for future reference.

These checks may also be carried out for a joint policy holder or person(s) that you provide personal information on. Should we ever lose contact with you, we may use these agencies to verify your address to help us get back in touch.

Part F – Investor’s declaration – continued

Part C – We may use your personal information to make automated decisions or profile you

We, M&G plc our Business Partners, and our Marketing Partners may use your personal information to make automated decisions affecting you or to conduct other profiling (for example, marketing profiling).

To the extent that we conduct such automated decision making activity, we’ll provide you with further information at the appropriate time.

Part D – Use of your sensitive personal information

For certain products or services, we’ll need to process your sensitive personal information, such as information relating to health, genetics, biometric identifiers and sexual orientation, a Data Provider may from time to time provide this to us. In such circumstances the Data Provider is responsible for obtaining any explicit consent necessary for us to process this kind of personal information. Alternatively, if you provide sensitive personal information to us, to the extent that we need your explicit consent to process this kind of personal information in the manner described in Parts A, B, and C, you explicitly provide your consent by signing and returning this form.

Part E – You’re in control

When it comes to how we use your personal information, you’ve got the right to:

- request a copy of your personal information for free (we may charge you for this if the request is manifestly unfounded or excessive)
- in certain circumstances request that we move your personal information to another organisation if you want us to
- request that we correct anything that’s wrong, or complete any incomplete personal information
- ask us to delete your personal information if it is no longer needed for the purposes set out in Part A or if there is no other legal basis for the processing
- limit how we use your personal information or withdraw your consents (including automated decision making) you have given for the processing of your personal information
- object to us using your personal information for direct marketing (including related profiling) or other processing based on legitimate interests
- complain to a data protection authority or another independent regulator about how we’re using it.

If you want to do any of these things, or would like an explanation as regards these rights, we’ve explained how you can get in touch in the Contact Us section.

If you do need to speak to us, it’ll be useful to have to hand that the data controller of your personal information is Prudential UK. Prudential UK have also appointed a Data Protection Officer who can be reached at the address shown in the Contact Us section of this document.

We may monitor or record calls or any other communication we have with you. This might be for training, for security, or to help us check for quality.

As set out at the start of this notice, a Data Provider is also a Data Controller in respect of your personal information and you are likely to have similar rights in respect of the personal information held by a Data Provider.

Part F – Acting on someone else’s behalf?

If you give us personal information about another person (or persons), we’ll take that to mean they have appointed and authorised you to act on their behalf. This includes providing consent to:

- our processing of their personal information and sensitive personal information (as we’ve explained in Parts A, B, C, and D above)
- you getting any information protection notices on their behalf.

If for any reason you are concerned as to whether you are permitted to provide us with the other person’s information, please contact us on the phone number below before sending us anything.

Part G – Direct marketing

We and M&G plc will still send you information by post about the Prudential UK and M&G plc’s products and services and carefully selected third parties.

Additionally, from time to time, Prudential UK and M&G plc would like to contact you by electronic means with details about products, services and any special offers. Please note that any consent you give will not apply to M&G Investments Group and Prudential International Assurance plc as they operate their own customer databases and may contact you separately.

If you consent to us contacting you for this purpose by electronic means, please tick to say how we may contact you (tick as many or as few as you like):

Email Phone Text

And if you change your mind, and/or you would like to opt-out of receiving non-electronic direct marketing, it’s easy to let us know. Just call us on 0800 000 000.

Part F – Investor’s declaration – continued

Contact us

If you want to exercise your rights in Part E or if you require any other information about any other part of this notice, you can contact us in a number of different ways.

Write to us at: Customer Service Centre
Prudential
Lancing
BN15 8GB

Call us on: 0800 000 000

Or visit: pru.co.uk

Prudential UK means The Prudential Assurance Company Limited and Prudential Pensions Limited, as appropriate.

M&G plc means any affiliates of Prudential UK (including, Prudential Distribution Limited, Prudential International Assurance plc, PGDS (UK ONE) Limited, Prudential Life Time Mortgages Limited, Prudential Global Services Private Limited, M&G Investments Group, Prudential Financial Planning Limited and Prudential Corporate Pensions Trustee Limited).

Business Partners means our service providers, accountants, auditors, IT service and platform providers, intermediaries, reinsurers, retrocessionaires, investment managers, agents, pension trustees (and other stakeholders), scheme advisors, introducers, selected third party financial and insurance product providers, and our legal advisers.

Marketing Partners means our service providers, intermediaries, pension trustees (and other stakeholders), scheme advisors, introducers and selected third party financial and insurance product providers.

Prudential as administrator of the personal pension and stakeholder pension schemes agrees to administer the schemes as required by the rules of the schemes.

For your own benefit and protection, you need to read carefully the documentation provided before signing and returning this form. You need to also read carefully any further documentation provided to you in the future. If there is anything you do not understand, please ask us for further information.

Signature of Investor

Date

D	D	M	M	Y	Y	Y	Y
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Part G – Declaration from transferring arrangement

- 1. We declare that to the best of our knowledge and belief the information given in all parts of this application is correct and complete.
- 2. We confirm that the transfer value detailed in this form is
 - consistent with UK legislation and
 - meets the requirements for equal treatment of retirement benefits between males and females in the same category of employment to the extent required by the Treaty of Rome.
- 3. If the transfer value is subject to a Court Order following the Investor’s divorce (an “earmarking order”), a copy of this Order is attached.

Copy attached

Signed for and on behalf of the administrator of the transferring scheme or the insurer of the transferring arrangement or contract

Date

D	D	M	M	Y	Y	Y	Y
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The Prudential (SAL) Personal Pension Scheme, under which Personal Pension plans are issued by Prudential, is a personal pension scheme and has been allocated HMRC reference number 00605330RK.

The Prudential (SAL) Stakeholder Pension Scheme, under which Stakeholder Pension plans are issued by Prudential, is a stakeholder pension scheme and has been allocated HMRC reference number 00613765RA.

Notes

Tick box.

For completion by Financial Adviser – Essential information

Please complete this section to show the commission to be taken:

Please note that if this scheme is being used for qualifying purposes under the “Better Workplace Pensions” initiative, we will not pay commission.

**Flat percentage NOT LAUTRO
% to be taken**

* Available only if the term to the Selected Retirement Age is at least 10 years.

a) Initial* %

b) Fund related %

c) Mixed initial/Fund related % Initial % Fund related %

d) Nil commission

Was advice given? Yes No

Your Prudential Company Representative Number (if applicable)

e.g. 1 2 3 4 5 X

Your FCA Number (Registered Individuals) R.I. Number

e.g. A B C 1 2 3 4 5 OR

Registered Individual's Forename AND

Registered Individual's Surname

For Prudential Use Only

Cheque Acknowledgement Number

Date Stamp

Cheque Amount £

SD Agreed by

Advice given

PFR Yes No CCC Yes No SCC

M Yes No EC Yes No AM

AC Yes No AOI

pru.co.uk

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